

Appian for Financial Services

GDPR COMPLIANCE

- Safeguard customer data with profile-level access control
- Accelerate resolution of data breach investigations and “right to erasure” requests
- Easily adapt to possible evolutions in the regulation
- Gain visibility and auditability of processes, evidence, decisions, and outcomes

The General Data Protection Regulation (GDPR) represents a significant shift in privacy requirements governing how financial services institutions and other organisations manage and protect personal data. Failure to comply could mean significant regulatory enforcement actions, reputational damage, and a loss of customer trust. Many financial institutions believe they are GDPR-compliant today. However, in opting for a quick-fix to meet the May 25, 2018 deadline, they may not have considered their long-term strategy.

How can financial institutions achieve and sustain GDPR compliance while optimising current operations?

Successful financial institutions are approaching GDPR holistically, and a future-proof IT platform that is flexible enough to deliver on any new regulatory data requirement (whether ad hoc or scheduled) is essential. The platform must provide the visibility necessary to establish a clear understanding of the personal data held by the organisation, as well as the ability to react quickly to requests to destroy data across the organisation when it no longer serves a valid a purpose.

TURN GDPR INTO A BUSINESS OPPORTUNITY

With the focus on improving transparency, GDPR compliance could be a driver that strengthens customer confidence in financial services.

- **Appian Records** enable the data management practices needed to maintaining data protection and privacy at the heart of GDPR.
- **Low-Code** application development provides the speed necessary to deliver new functions and capabilities should the regulation continue to evolve and change due to legal test cases.
- **Configurable Reports** provide an overall view of GDPR compliance configured for any information set, e.g., age of request, remaining time, or SLA.



FOCUS

Deliver key capabilities for effective GDPR Compliance with an automated approach to compliance.

- Manage basic cases and more complex scenarios within the same process
- Create comprehensive views across events, departments, products and regions
- Conduct ongoing screening with reasonable review and escalation of exception cases

TAKE CONTROL

Quickly build, deploy, and scale governance, risk, and compliance initiatives with enterprise solutions including:

- Anti-Money Laundering (AML)
- Know Your Customer (KYC) / Enhanced Due Diligence (EDD) / Customer Identification Program (CIP)
- Enterprise Risk Management
- Fraud Investigations
- Regulatory Monitoring and Action
- MiFID II Compliance
- Service Provider Oversight and Control
- Stress Test Management
- FATCA
- Leadership Attestation

PREPARE FOR THE FUTURE

Pressure is mounting to deliver innovation in financial services.

It takes speed and power to deliver transformational solutions. The Appian low-code application platform provides both, while leveraging your legacy IT investments.

With Appian, financial institutions can build web and mobile apps faster, run them on-premises or in the cloud, and manage complex processes, end-to-end, without limitations.

LEADING ORGANISATIONS TRUST APPIAN:



Realise the benefits of Appian for all of your Governance, Risk and Compliance needs. Learn more at: [appian.com/finserv](https://www.appian.com/finserv)

Appian

Appian provides a leading low-code software development platform that enables Financial Services Institutions to rapidly develop powerful and unique applications. The applications created on Appian's platform help companies drive digital transformation and enables competitive differentiation.

For more information, visit www.appian.com